

Redwood Coast Regional Center Fiscal Year 2013-14 Purchase of Service Expenditure Data Charts

In 2012 AB 1472 added Section 4519.5 to the Welfare and Institutions Code (WIC), which is a part of the WIC referred to as the Lanterman Act. These provisions became effective January 1, 2013 and in summary, provide for the following:

- The Department of Developmental Services (DDS) and the Regional Centers shall annually compile data relating to purchase of service (POS) authorization, utilization, and expenditure by each Regional Center with respect to all of the following:
 - The age of client,
 - Race or ethnicity of the client,
 - Primary language spoken by the client, and
 - Disability detail.
- The data reported shall also include the number and percentage of individuals, categorized by age, race or ethnicity, and disability, who have been determined to be eligible for Regional Center services but are not receiving purchase of service funds.
- By March 31, 2013, each Regional Center shall post this POS data on its internet web site. Commencing on December 31, 2013, each Regional Center shall annually post this data by December 31.
- Within three months of compiling the POS data, and annually thereafter, each Regional Center shall meet with stakeholders in a public meeting regarding the data.

In 2014 SB 1093 revised WIC Section 4519.5 effective January 1, 2015 to further add the following provisions:

- Regional Centers shall maintain previous years' POS data on their websites.
- Regional Centers shall hold public meetings related to this POS data separately from Regional Center board meetings.
- Regional Centers shall provide participants of the public meetings with the POS data and any associated information, with discussion in a manner that is culturally and linguistically appropriate for that community, including providing alternative communication services.
- Regional Centers shall consider the language needs of the community and schedule the meetings at times and locations designed to result in a high turnout by the public and underserved communities.
- Regional Centers shall annually report to DDS by April 1st each year regarding its implementation of the requirements of WIC section 4519.5, with Regional Centers and the Department annually posting these reports on their respective websites by August 31.

In response to the above mandates, RCRC has posted its POS Expenditure Data for the last three fiscal years on its website, which can be found at <http://redwoodcoastrc.org/transparency/purchase-of-services-pos-expenditure-data>. For fiscal year 2013-2014 this data includes 20 tables of information that fall into three categories: Services, No Services and Insurance. Data in all three categories are further broken down by diagnosis, ethnicity or race, language and residence.

In an effort to help present this data in a more user-friendly format, RCRC has created the following graphs, which are based on the data in the tables for the 2013-2014 fiscal year.

Limitations of the Purchase Of Service Expenditure Data

Purchase of Service Costs

The POS data displayed represents the cost of services that clients received that are paid for by the Regional Center. This POS expenditure data does not include the cost of services clients receive that are paid for by Supplemental Security Income, Medi-Cal, the school system, and other generic agencies. Nor does it include funds paid by the Regional Center "under contract" for clients or the cost of case management services provided by the Regional Center. For the 2013-14 fiscal year the Regional Center may still make payments for services provided to clients for FY 2013-14 up until June 2017.

Client Count

The total number of clients who received services during the fiscal year will be greater than the Regional Center's actual caseload. A client is included in the data if the client received a Regional Center funded service at any time during the fiscal year. A Client who received a single service once during the year is counted the same as a client who received ongoing monthly services. The client is also counted regardless of their current status with the Regional Center. The data may include clients whose current status is closed, transferred-out, in-active, etc.

Authorized Services

Services that are authorized "encumber" funds needed to pay for those services. For a number of reasons, authorized services will always be more than what is actually paid for services. In many cases authorizations are written for the maximum amount of services that *may* be needed, with the knowledge that if less services are actually needed, less services will be provided.

Definitions

Definitions for the following are provided in the NOTES section of this report:

- Diagnosis
- Ethnicity
- Language

Age

Each client's age is determined as of January 1 of the report year. So if a client turned 22 on March 14, 2014, all of their expenditures would be included in the 3 years to 21 years group.

Residence Code

On the expenditures by residence code, a client's expenditures will show up in the residence code that they had as of June 30, 2014. So, if a client lived in a Community Care Facility most of the year and then was admitted to a Developmental Center in May 2014, all of their expenses would show up under the Developmental Center residence code.

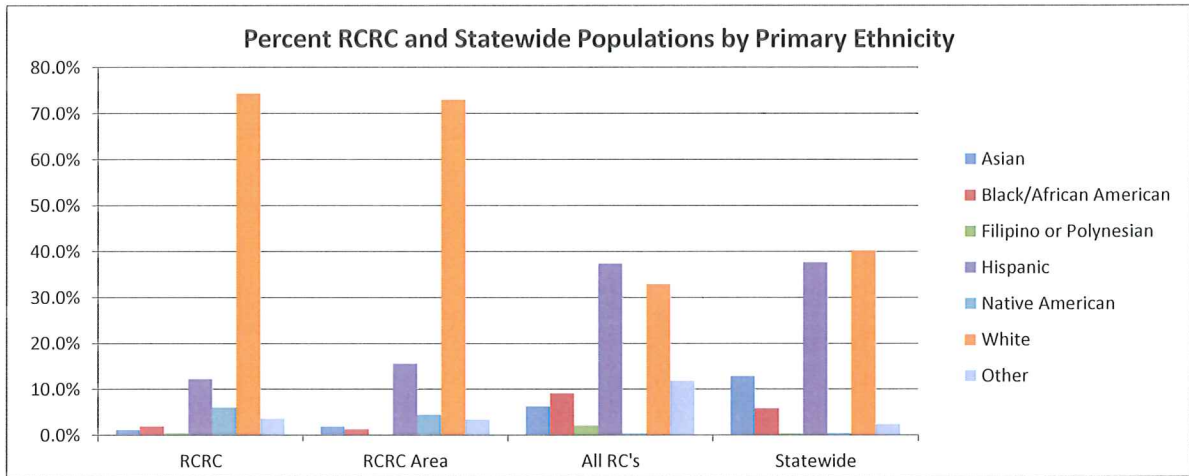
Insurance Co-Payments

This year Regional Centers were required to report POS expenditure data for insurance co-payments and co-insurance for clients by ethnicity. This subset of the total POS expenditures only includes POS expenditure data for those clients that are covered by health insurance. According to state and national estimates, some ethnicities are more likely to have insurance than others. 75.2% of Asians are likely to have health insurance, whereas 53.8% of Black/African Americans and 44.9% of Hispanics are likely to have health insurance, while Whites have a 76.0% likelihood of having health insurance. Most of the health insurance co-pay and co-insurance expenditures statewide for Regional Center clients are for minors with autism who receive behavioral supports and services. This is the case for RCRC: There was only one client who had POS expenses related to insurance co-payments. The client is a white, English speaking minor with Autism, living at home and who received behavioral-related services.

PRIMARY ETHNICITY OF RCRC AND STATEWIDE POPULATIONS, FISCAL YEAR 2013-14

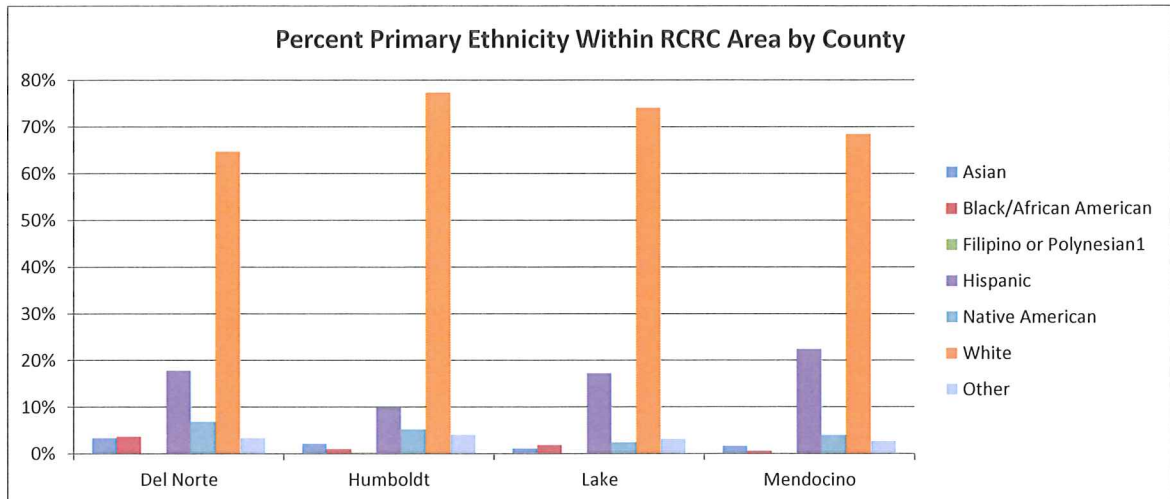
RCRC And Statewide Populations By Primary Ethnicity

Primary Ethnicity	RCRC	RCRC Area	All RC's ¹	Statewide ²
Asian	41	6,079	19,460	4,816,595
Black/African American	69	4,281	28,113	2,194,007
Filipino or Polynesian ³	14	592	6,564	133,872
Hispanic	425	49,571	115,529	14,072,269
Native American	210	14,130	1,071	166,176
White	2,587	232,457	101,715	15,039,953
Other	127	10,914	36,542	919,106
All Clients	3,473	318,024	308,994	37,341,978



Primary Ethnicity Within RCRC Area By County

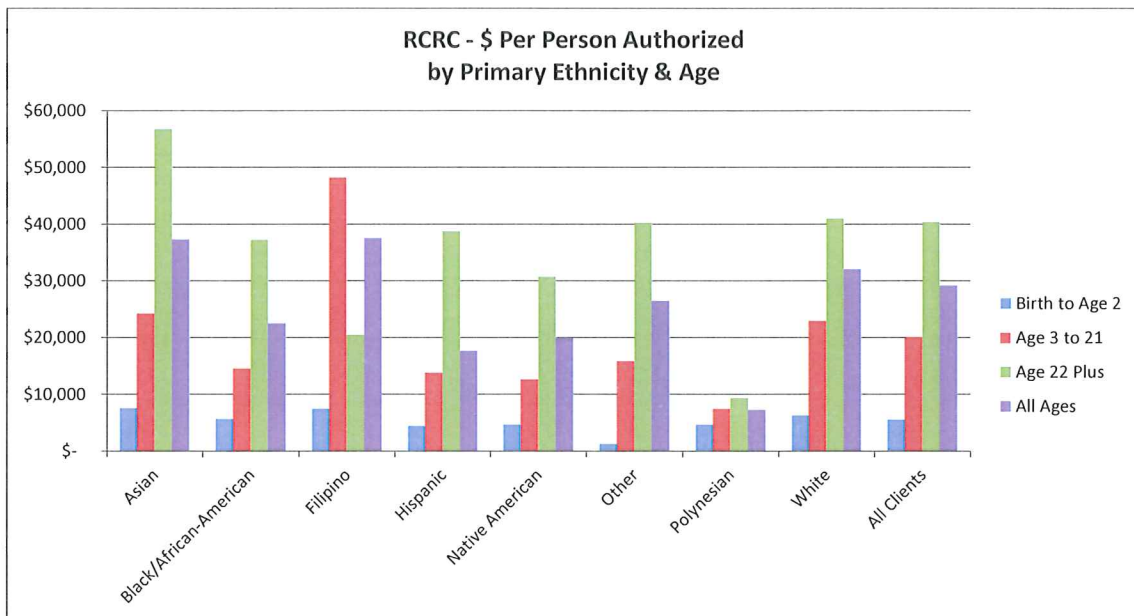
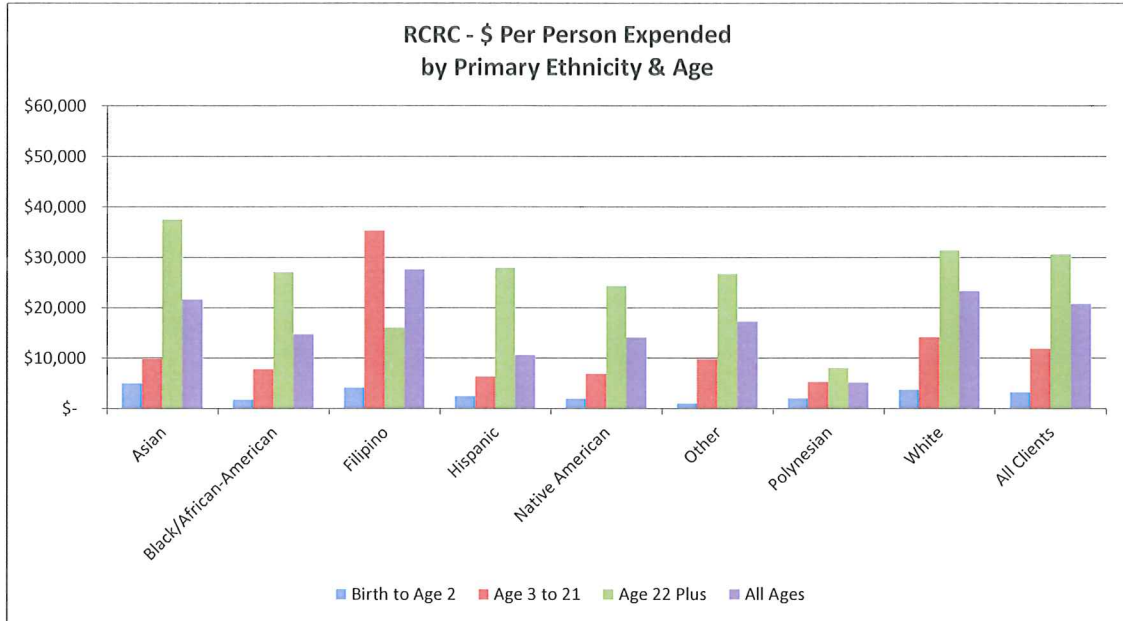
Primary Ethnicity	Del Norte	Humboldt	Lake	Mendocino	Total
Asian	964	2,924	740	1,451	6,079
Black/African American	1,063	1,432	1,214	572	4,281
Filipino or Polynesian ³	28	351	109	104	592
Hispanic	5,138	13,479	11,152	19,802	49,571
Native American	1,974	7,081	1,554	3,521	14,130
White	18,685	105,274	48,049	60,449	232,457
Other	970	5,515	2,036	2,393	10,914
All Clients	28,822	136,056	64,854	88,292	318,024



**RCRC PER PERSON EXPENDITURES AND AUTHORIZATIONS
BY PRIMARY ETHNICITY & AGE, FISCAL YEAR 2013-14**

Number of RCRC Clients By Ethnicity And Age

Primary Ethnicity ¹	Birth to Age 2	Age 3 to 21	Age 22 Plus	All Ages
Asian	3	20	18	41
Black/African-American	7	35	27	69
Filipino	1	6	2	9
Hispanic	94	230	101	425
Native American	20	97	93	210
Polynesian	1	3	1	5
White	216	865	1,506	2,587
Other	8	59	60	127
All Clients	350	1,315	1,808	3,473

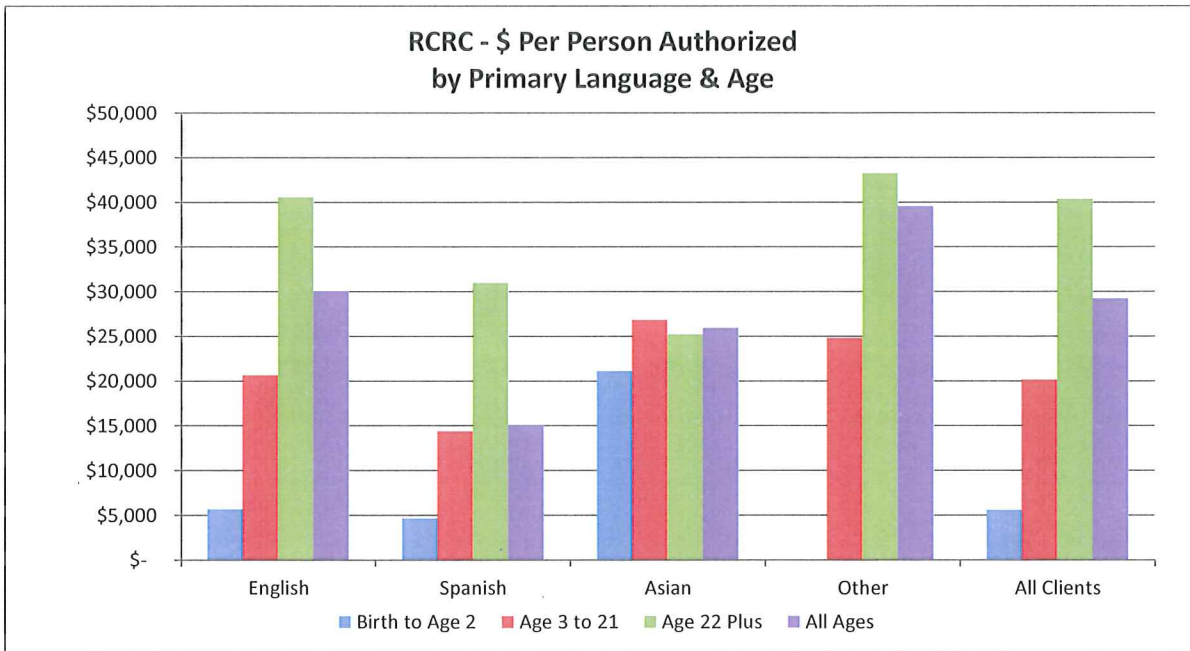
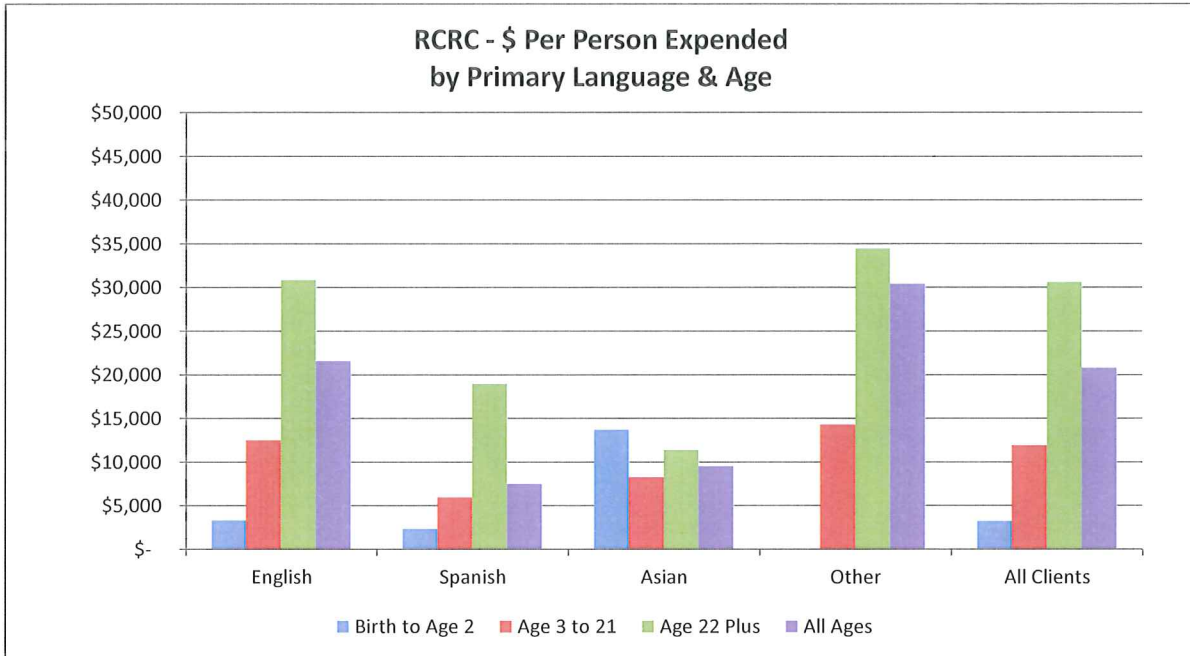


Source: RCRC FY 2013-2014 POS Expenditure Data

RCRC PER PERSON EXPENDITURES BY PRIMARY LANGUAGE & AGE, FISCAL YEAR 2013-14

Number of RCRC Clients By Primary Language And Age

Primary Language ⁵	Birth to Age 2	Age 3 to 21	Age 22 Plus	All Ages
English	297	1,185	1,750	3,232
Spanish	52	118	39	209
Asian	1	8	3	12
Other	-	4	16	20
All Clients	350	1,315	1,808	3,473

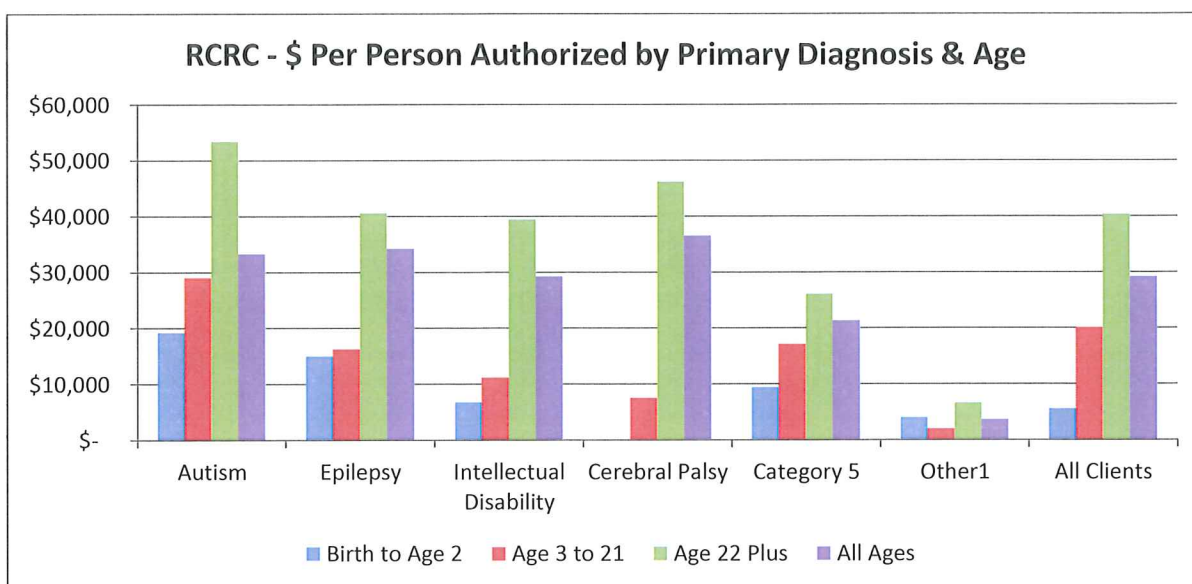
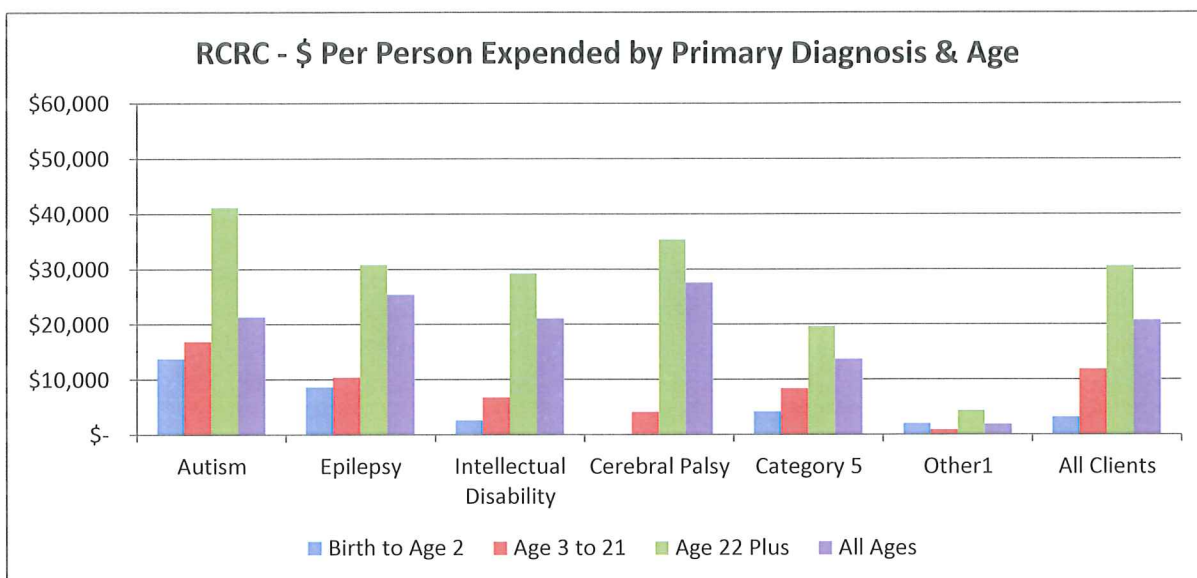


Source: RCRC FY 2013-2014 POS Expenditure Data

RCRC PER PERSON EXPENDITURES BY PRIMARY DIAGNOSIS AND AGE, FISCAL YEAR 2013-14

Number of RCRC Clients By Primary Diagnosis And Age

Primary Diagnosis ⁶	Birth to Age 2	Age 3 to 21	Age 22 Plus	All Ages
Autism	31	534	133	698
Intellectual Disability	4	499	1,408	1,911
Cerebral Palsy	1	43	78	122
Epilepsy	-	18	54	72
Category 5	5	117	115	237
Other	309	104	20	433
All Clients	350	1,315	1,808	3,473

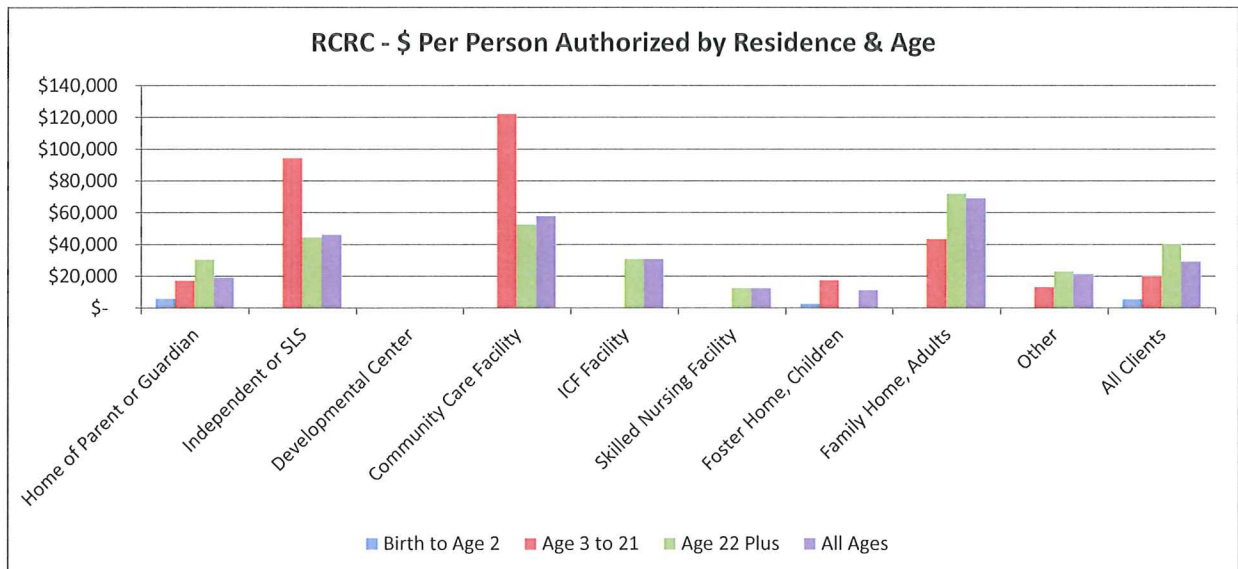
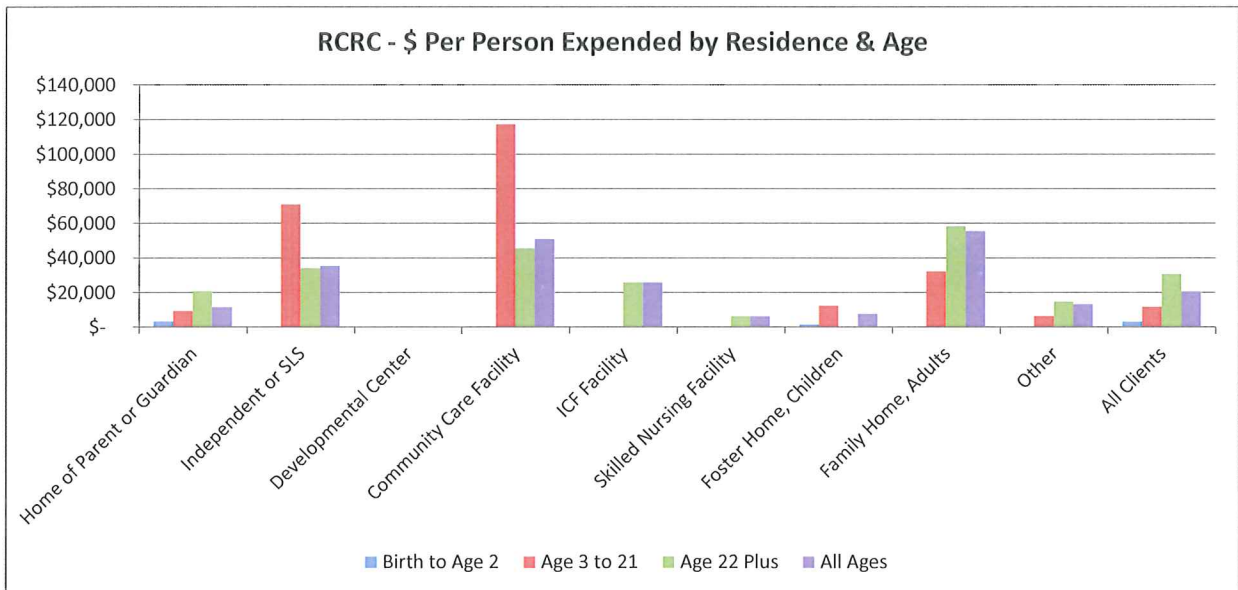


Source: RCRC FY 2013-2014 POS Expenditure Data

RCRC PER PERSON EXPENDITURES AND AUTHORIZATIONS BY RESIDENCE AND AGE, FISCAL YEAR 2013-14

Number of RCRC Clients By Residence And Age

Residence ⁷	Birth to Age 2	Age 3 to 21	Age 22 Plus	All Ages
Home of Parent or Guardian	321	1,216	584	2,121
Independent or Supported Living	-	31	930	961
Developmental Center	-	-	6	6
Community Care Facility	-	11	138	149
ICF Facility	-	-	27	27
Skilled Nursing Facility	-	-	19	19
Foster Home, Children	29	41	-	70
Family Home, Adults	-	7	61	68
Other	-	9	43	52
All Clients	350	1,315	1,808	3,473

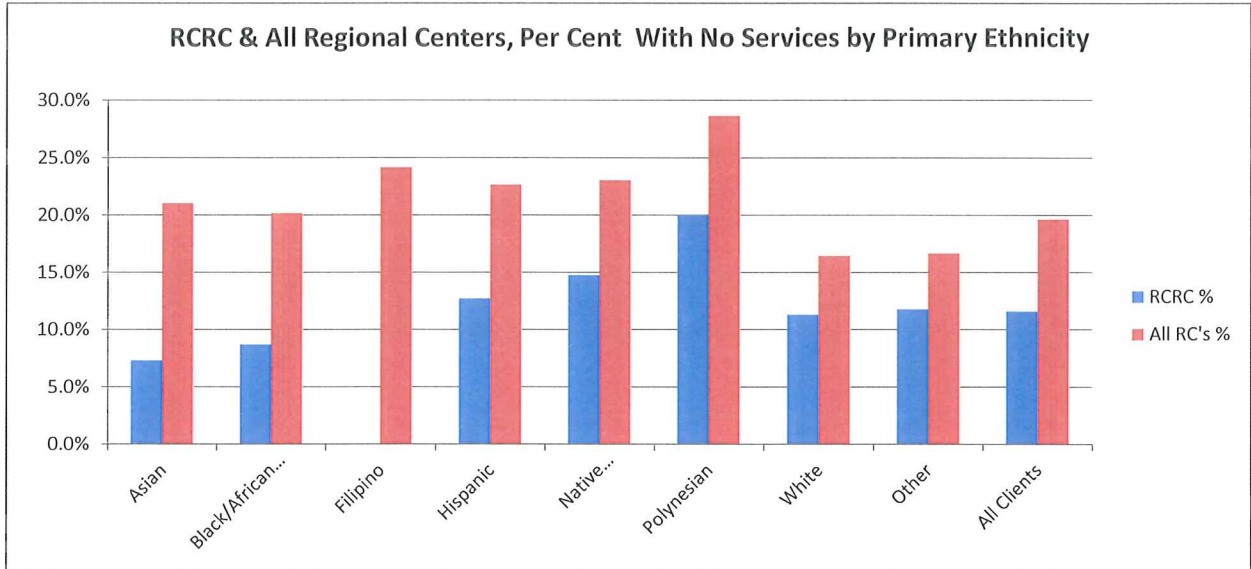


Source: RCRC FY 2013-2014 POS Expenditure Data

NO SERVICES BY PRIMARY ETHNICITY OF RCRC AND STATEWIDE POPULATIONS, FISCAL YEAR 2013-14

RCRC And Statewide No Services By Primary Ethnicity

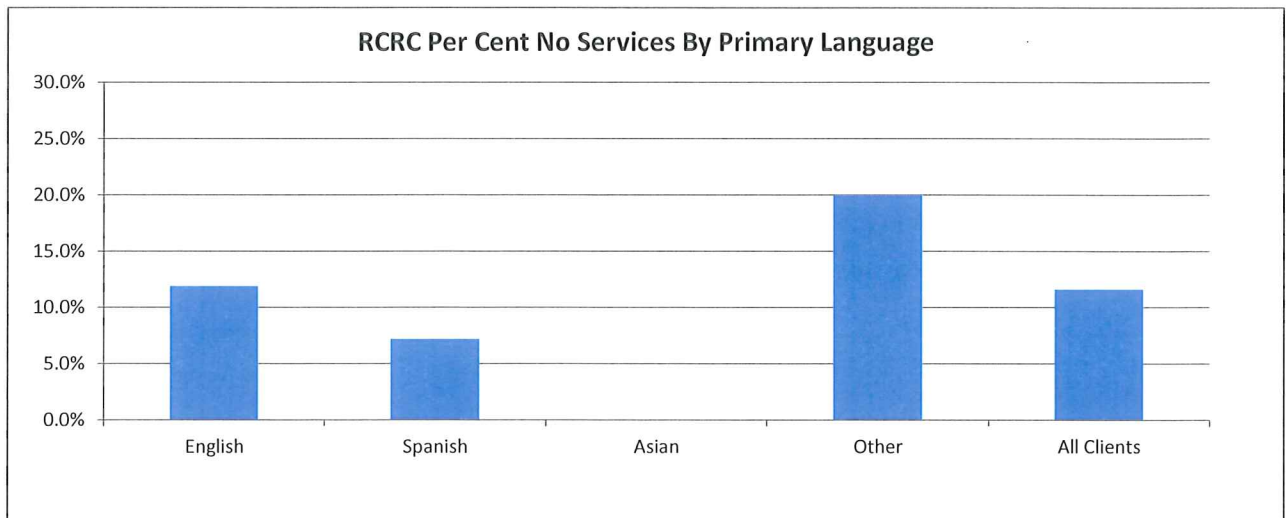
Primary Ethnicity ⁴	RCRC	All RC's	RCRC %	All RC's %
Asian	3	4,098	7.3%	21.1%
Black/African American	6	5,676	8.7%	20.2%
Filipino	-	1,588	0.0%	24.2%
Hispanic	54	26,182	12.7%	22.7%
Native American	31	247	14.8%	23.1%
Polynesian	1	196	20.0%	28.7%
White	293	16,765	11.3%	16.5%
Other	15	6,108	11.8%	16.7%
All Clients	403	60,860	11.6%	19.7%



RCRC No Services By Primary Language

Primary Language ⁵	English	Spanish	Asian	Other	All Clients
Per Cent	11.9%	7.2%	0.0%	20.0%	11.6%

Data on "No Services By Primary Language" is not available for "All RC's"



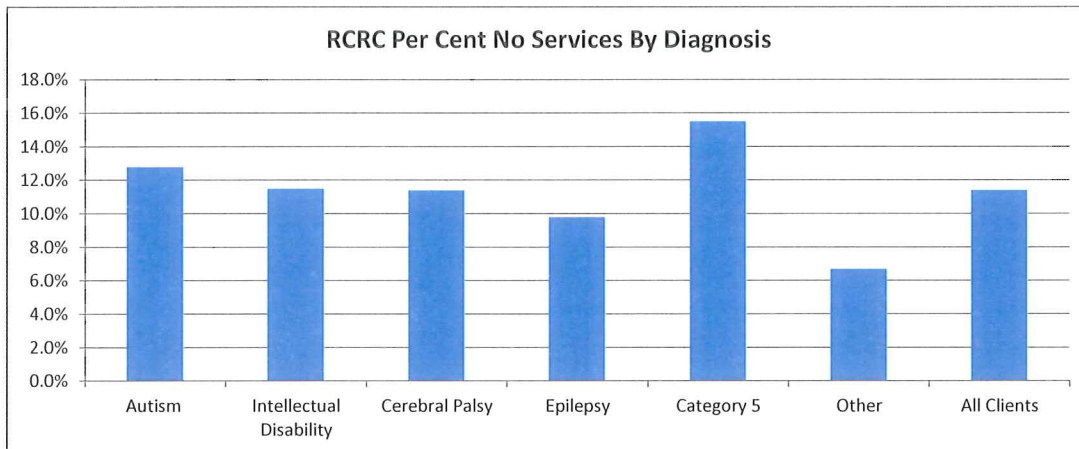
Source: RCRC FY 2013-2014 POS Expenditure Data

NO SERVICES BY PRIMARY DIAGNOSIS AND RESIDENCE, FISCAL YEAR 2013-14

RCRC No Services By Primary Diagnosis

Primary Diagnosis ⁶	Clients	No Services	Per Cent
Autism	698	89	12.8%
Intellectual Disability	2,154	247	11.5%
Cerebral Palsy	411	47	11.4%
Epilepsy	510	50	9.8%
Category 5	414	64	15.5%
Other	433	29	6.7%
All Clients	4,620	526	11.4%

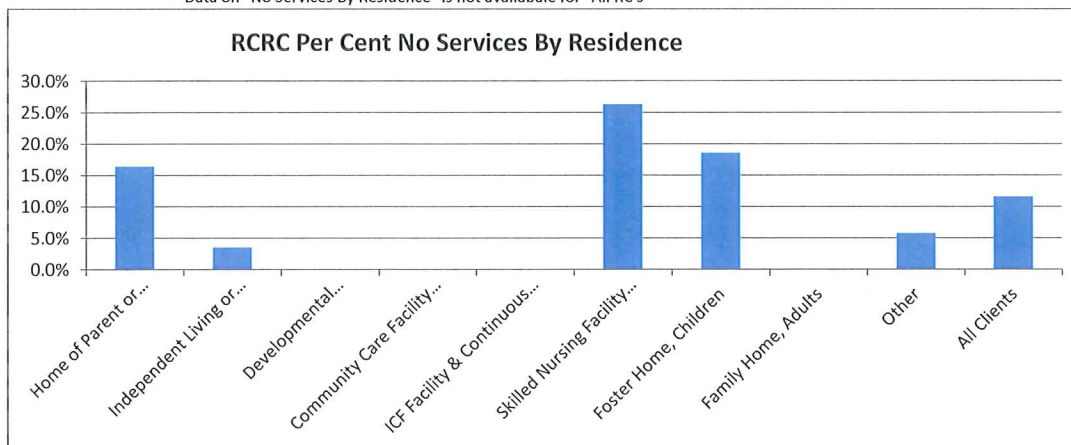
Data on "No Services By Primary Diagnosis" is not available for "All RC's"



RCRC No Services By Residence

Residence ⁶	Clients	No Services	Per Cent
Home of Parent or Guardian	2,121	348	16.4%
Independent Living or Supported Living	961	34	3.5%
Developmental Center/State Hospital	6	0	0.0%
Community Care Facility (CCF)	149	0	0.0%
ICF Facility & Continuous Nursing	27	0	0.0%
Skilled Nursing Facility (SNF)	19	5	26.3%
Foster Home, Children	70	13	18.6%
Family Home, Adults	68	0	0.0%
Other	52	3	5.8%
All Clients	3,473	403	11.6%

Data on "No Services By Residence" is not available for "All RC's"



Source: RCRC FY 2013-2014 POS Expenditure Data

Notes

All figures are from the RCRC 2013-2014 Fiscal Year POS Expenditure Data unless otherwise noted.

Note	Comments			
1	"All RC" data is from 2014 Association of Regional Center Agencies (ARCA) data.			
2	"Statewide" data is from the 2010 Census.			
3	"Filipino or Polynesian" data is not available per County, but is broken out elsewhere in this report.			
4	<u>Race/Ethnicity</u> Defintions:			
	Asian			
	Asian Indian	Hmong	Laotian	Vietnamese
	Cambodian	Japanese	Thai	Other Asian
	Chinese	Korean		
	Black/African American			
	Black/African American			
	Filipino			
	Spanish/Latin			
	Hispanic	Other Latin languages		
	Native American			
	Polynesian			
	Guamanian	Native Hawaiian	Samoan	Other Pacific Islander
	White			
	Other	Russian	Multicultural	Unknown
5	<u>Primary Language</u> Definitions			
	Asian			
	Cantonese Chinese	Japanese	Mandarin Chinese	Vietnamese
	Cambodian	Korean	Thai	Other Asian
	Hmong	Loation		
	English			
	Spanish			
	Spanish	Other Latin languages		
	Other			
	All sign languages	French	Mein	Swahili
	Amharic	Germanic languages	Nigerian	Swedish
	Arabic	Guamanian	Portuguese	Tagalog
	Aramaic	Hebrew	Russian	Uralic-Slavic languages
	Armenian	Hindi	Samoan	Urdu
	Dutch	Hungarian	Scandinavian language All Other	
	Farsi	Italian	Somali	

6 Diagnosis Defintions:

Autism - Any client with a diagnosis of Autism, regardless of any other diagnosis that the client may also have.

Intellectual Disability - Any client with a diagnosis of Intellectual Disability who **does** not also have a diagnosis of Autism, but may also have a diagnosis of Cerebral Palsy, Epilepsy, Category 5, and/or Other.

Cerebral Palsy - Any client with a diagnosis of Cerebral Palsy who **does not** also have a diagnosis of Autism and/or Intellectual Disability but may also have a diagnosis of Epilepsy, Category 5, and/or Other.

Epilepsy - Any client with a diagnosis of Epilepsy who **does not** also have a diagnosis of Autism, Intellectual Disability, and/or Cerebral Palsy but may also have Category 5, and/or Other.

Category 5 - Any client with a diagnosis of Category 5 who **does not** also have a diagnosis of Autism, Intellectual Disability, Cerebral Palsy, and/or Epilepsy but may also have a diagnosis of Other. Note: Clients listed in Category 5, according to WIC Section 4512, are individuals who have "disabling conditions found to be closely related to Intellectual Disability or to require treatment similar to that required for individuals with Intellectual Disability, but shall not include other handicapping conditions that are solely physical in nature."

Other - Any client with a diagnosis of Other who **did not** also have a diagnosis of Autism, Intellectual Disability, Cerebral Palsy, Epilepsy, and/or Category 5. This includes clients that have been determined to have "no diagnosis."

7 "Other" Residence types include the following:

Correctional Institution

Transient/Homeless

Out-of-State

All other not noted

Community Treatment Facility