



Redwood Coast Regional Center

*Respecting
Choice in the
Redwood Community*

PURCHASE OF SERVICE
EXPENDITURE DATA

For Clients Receiving Services From
The Redwood Coast Regional Center
For Fiscal Year 2014-15

Purchase of Service Expenditure Data

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Redwood Coast Regional Center Fiscal Year 2014-15 Purchase of Service Expenditure Data Charts

In 2012 Assembly Bill 1472 added Section 4519.5 to the Welfare and Institutions Code (WIC), which is a part of the WIC referred to as the Lanterman Act. These provisions became effective January 1, 2013 and in summary, provide for the following:

- The Department of Developmental Services (DDS) and the Regional Centers shall annually compile data relating to purchase of service (POS) authorization, utilization, and expenditure by each Regional Center with respect to all of the following:
 - The age of client,
 - Race or ethnicity of the client,
 - Primary language spoken by the client, and
 - Disability detail.
- The data reported shall also include the number and percentage of individuals, categorized by age, race or ethnicity, and disability, who have been determined to be eligible for Regional Center services but are not receiving purchase of service funds.
- By March 31, 2013, each Regional Center shall post this POS data on its internet web site. Commencing on December 31, 2013, each Regional Center shall annually post this data by December 31.
- Within three months of compiling the POS data, and annually thereafter, each Regional Center shall meet with stakeholders in a public meeting regarding the data.

Senate Bill 1093 revised WIC Section 4519.5 effective January 1, 2015 to further add the following provisions regarding POS data reporting:

- Regional Centers shall compile data related to purchase of service authorization, utilization and expenditure by residence type, subcategorized by age, race or ethnicity, and primary language.
- Regional Centers shall maintain previous years' POS data on their websites.
- Regional Centers shall hold public meetings related to this POS data separately from Regional Center board meetings.
- Regional Centers shall provide participants of the public meetings with the POS data and any associated information, with discussion in a manner that is culturally and linguistically appropriate for that community, including providing alternative communication services.
- Regional Centers shall consider the language needs of the community and schedule the meetings at times and locations designed to result in a high turnout by the public and underserved communities.
- Regional Centers shall annually report to DDS by April 1st each year regarding its implementation of the requirements of WIC section 4519.5, with Regional Centers and the Department annually posting these reports on their respective websites by August 31.

In response to the above mandates, RCRC has posted its POS Expenditure Data for the last four fiscal years on its website, which can be found at <http://redwoodcoastrc.org/transparency/purchase-of-services-pos-expenditure-data>. For fiscal year 2013-2014 this data includes 20 tables of information that fall into three categories: Services, No Services and Insurance. Data in all three categories are further broken down by diagnosis, ethnicity or race, language and residence.

In an effort to help present this data in a more user-friendly format, RCRC has created the following graphs, which are based on the data in the tables for the 2013-2014 fiscal year.

Limitations of the Purchase Of Service Expenditure Data

Purchase of Service Costs

The POS data displayed represents the cost of services that clients received that are paid for by the Regional Center. This POS expenditure data does not include the cost of services clients receive that are paid for by Supplemental Security Income, Medi-Cal, the school system, and other generic agencies. Nor does it include funds paid by the Regional Center “under contract” for clients or the cost of case management services provided by the Regional Center. For the 2014-15 fiscal year the Regional Center may still make payments for services provided to clients for FY 2014-15 up until June 2017.

Client Count

The total number of clients who received services during the fiscal year will be greater than the Regional Center’s actual caseload. A client is included in the data if the client received a Regional Center funded service at any time during the fiscal year. A Client who received a single service once during the year is counted the same as a client who received ongoing monthly services. The client is also counted regardless of their current status with the Regional Center. The data may include clients whose current status is closed, transferred-out, in-active, etc.

Authorized Services

Services that are authorized “encumber” funds needed to pay for those services. For a number of reasons, authorized services will always be more than what is actually paid for services. In many cases authorizations are written for the maximum amount of services that *may* be needed, with the knowledge that if less services are actually needed, less services will be provided.

Definitions

Definitions for the following are provided in the NOTES section at the end of this report:

- Diagnosis
- Ethnicity
- Language

Age

Each client's age is determined as of January 1 of the report year. So if a client turned 22 on March 14, 2015, all of their expenditures would be included in the 3 years to 21 years group.

Residence Code

On the expenditures by residence code, a client's expenditures will show up in the residence code that they had as of June 30, 2015. So, if a client lived in a Community Care Facility most of the year and then was admitted to a Developmental Center in May 2014, all of their expenses would show up under the Developmental Center residence code.

Insurance Co-Payments

Regional Centers are required to report POS expenditure data for insurance co-payments and co-insurance for clients. The Redwood Coast Regional Center has determined that it had zero (\$0) insurance-related POS expenditures during fiscal year 2014-15. As such, there are no insurance-related POS expenditures reports to publish for fiscal year 2014-15.

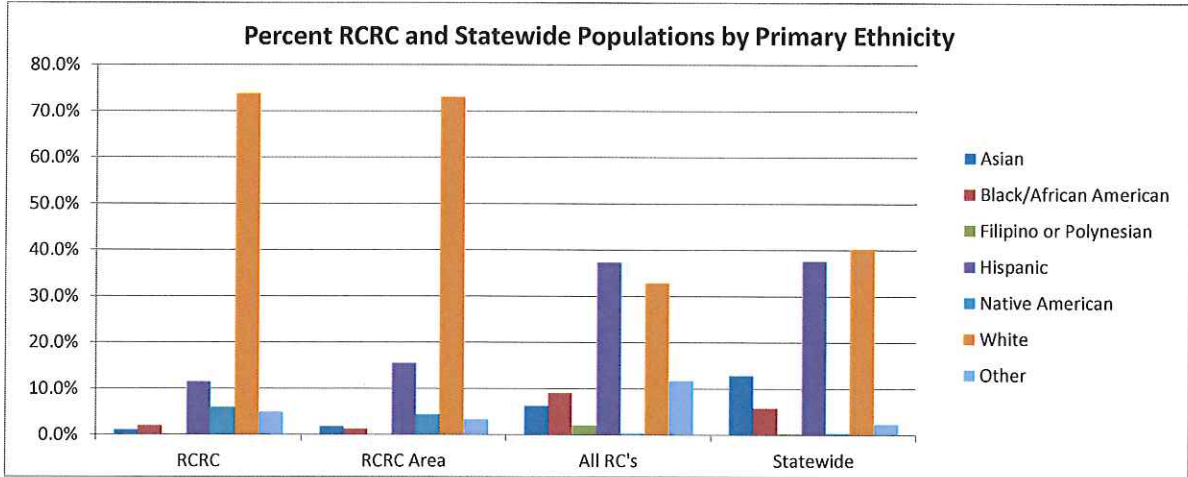
Disclaimer

Every effort has been made to ensure that the information reported on the Purchase Of Services expenditures reports is accurate.

PRIMARY ETHNICITY OF RCRC AND STATEWIDE POPULATIONS, FISCAL YEAR 2014-15

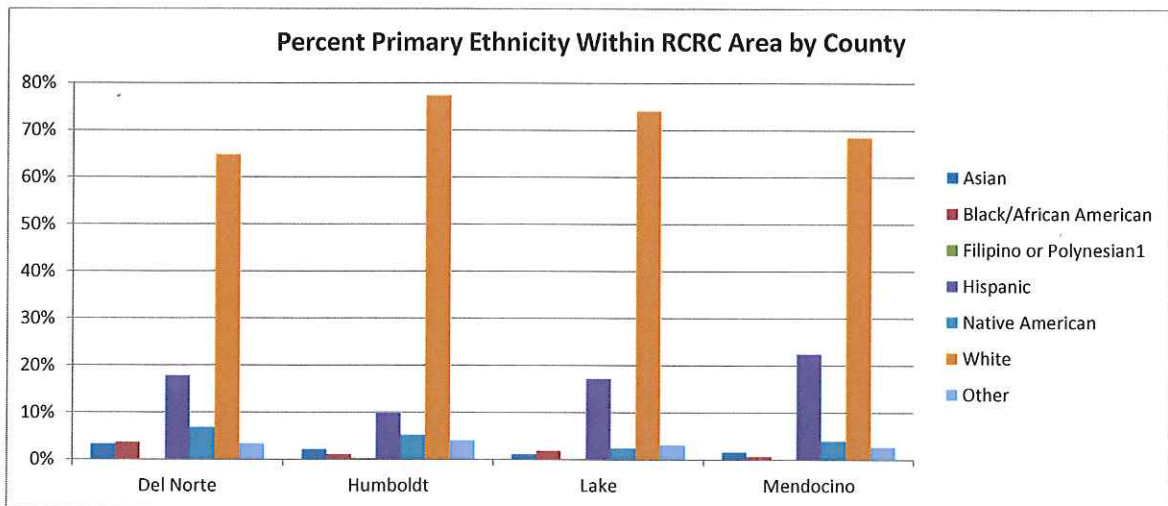
RCRC And Statewide Populations By Primary Ethnicity

Primary Ethnicity	RCRC	RCRC Area	All RC's ¹	Statewide ²
Asian	42	6,079	19,460	4,816,595
Black/African American	75	4,281	28,113	2,194,007
Filipino or Polynesian ³	10	592	6,564	133,872
Hispanic	428	49,571	115,529	14,072,269
Native American	224	14,130	1,071	166,176
White	2,728	232,457	101,715	15,039,953
Other	186	10,914	36,542	919,106
All Clients	3,693	318,024	308,994	37,341,978



Primary Ethnicity Within RCRC Area By County

Primary Ethnicity	Del Norte	Humboldt	Lake	Mendocino	Total
Asian	964	2,924	740	1,451	6,079
Black/African American	1,063	1,432	1,214	572	4,281
Filipino or Polynesian ³	28	351	109	104	592
Hispanic	5,138	13,479	11,152	19,802	49,571
Native American	1,974	7,081	1,554	3,521	14,130
White	18,685	105,274	48,049	60,449	232,457
Other	970	5,515	2,036	2,393	10,914
All Clients	28,822	136,056	64,854	88,292	318,024

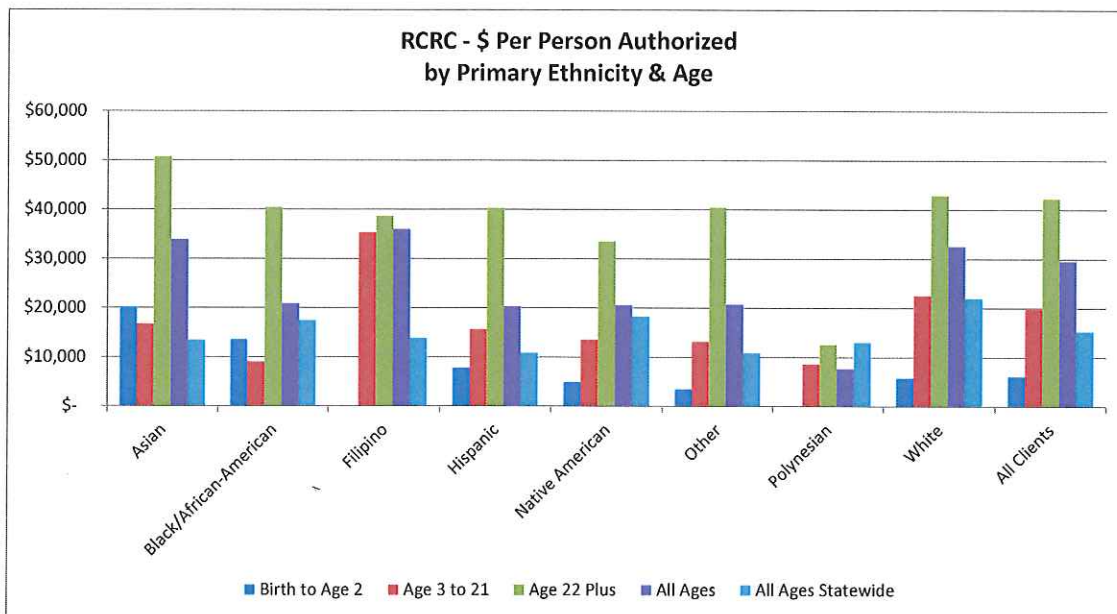
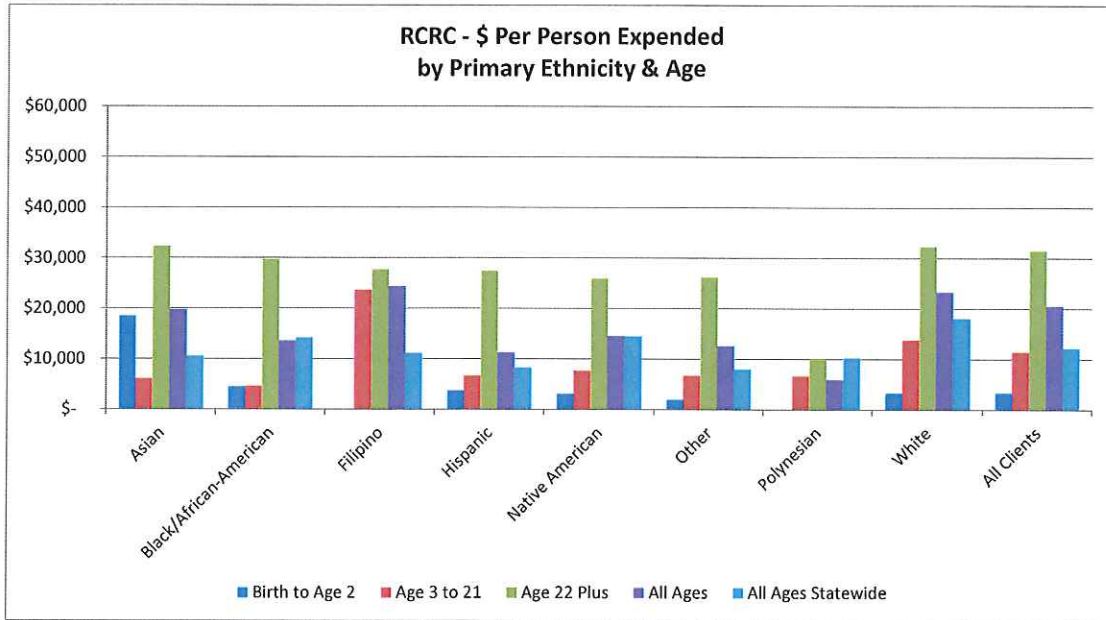


Source: Association of Regional Center Agencies (ARCA) & 2010 Census Data

RCRC PER PERSON EXPENDITURES AND AUTHORIZATIONS BY PRIMARY ETHNICITY & AGE, FISCAL YEAR 2014-15

Number of RCRC Clients By Ethnicity And Age

Primary Ethnicity ⁴	Birth to Age 2	Age 3 to 21	Age 22 Plus	All Ages
Asian	2	19	21	42
Black/African-American	9	39	27	75
Filipino	-	8	2	10
Hispanic	82	239	107	428
Native American	31	101	92	224
Polynesian	1	3	1	5
White	249	935	1,544	2,728
Other	40	76	65	181
All Clients	414	1,420	1,859	3,693

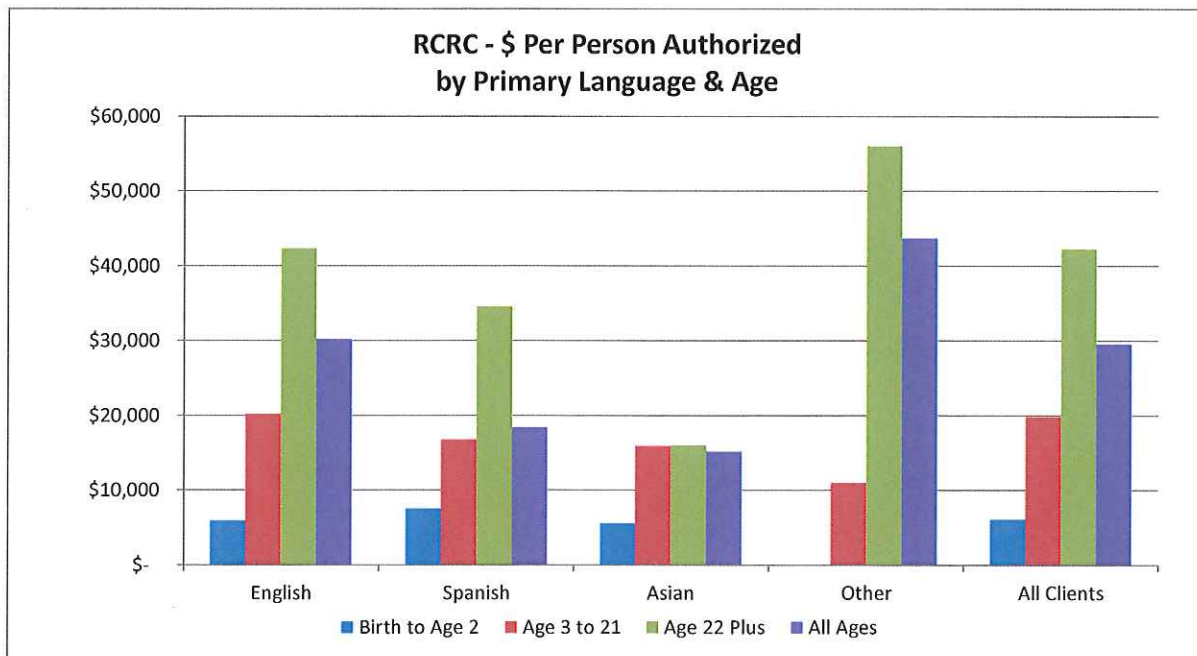
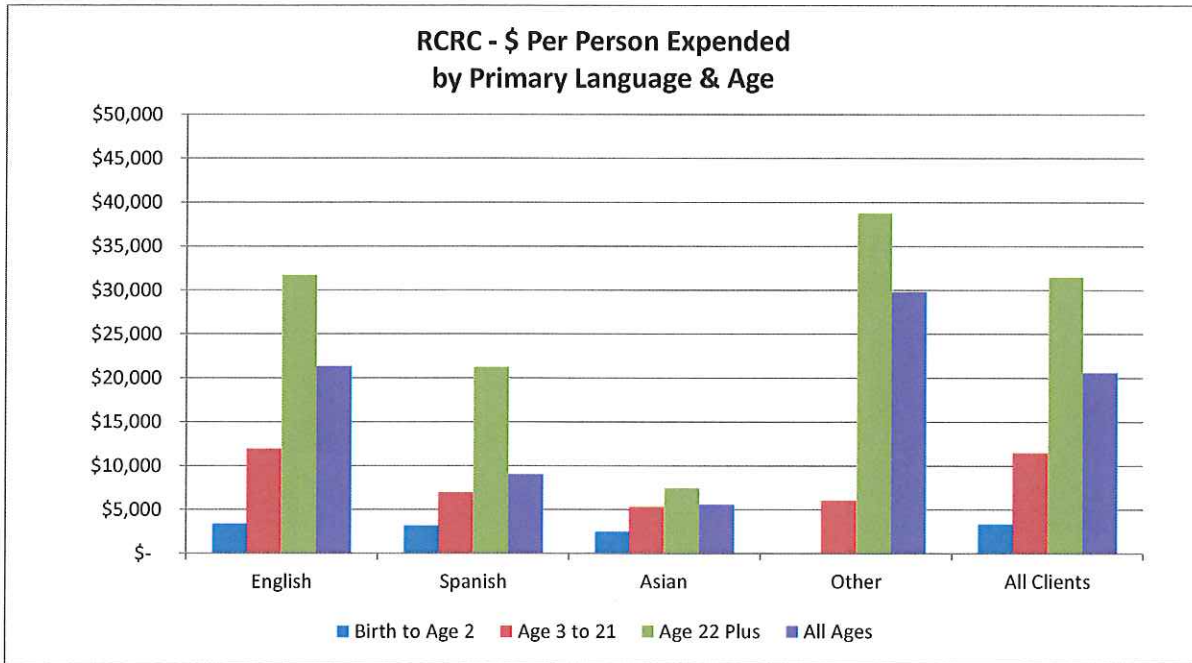


Source: RCRC FY 2014-2015 POS Expenditure Data

**RCRC PER PERSON EXPENDITURES & AUTHORIZATIONS
BY PRIMARY LANGUAGE & AGE, FISCAL YEAR 2014-15**

Number of RCRC Clients By Primary Language And Age

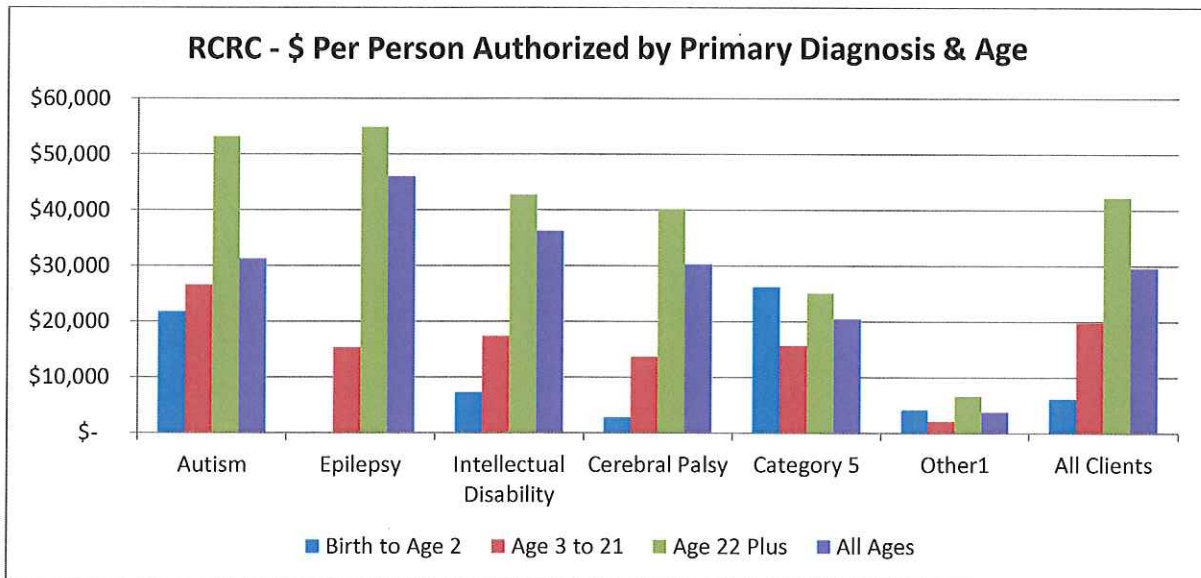
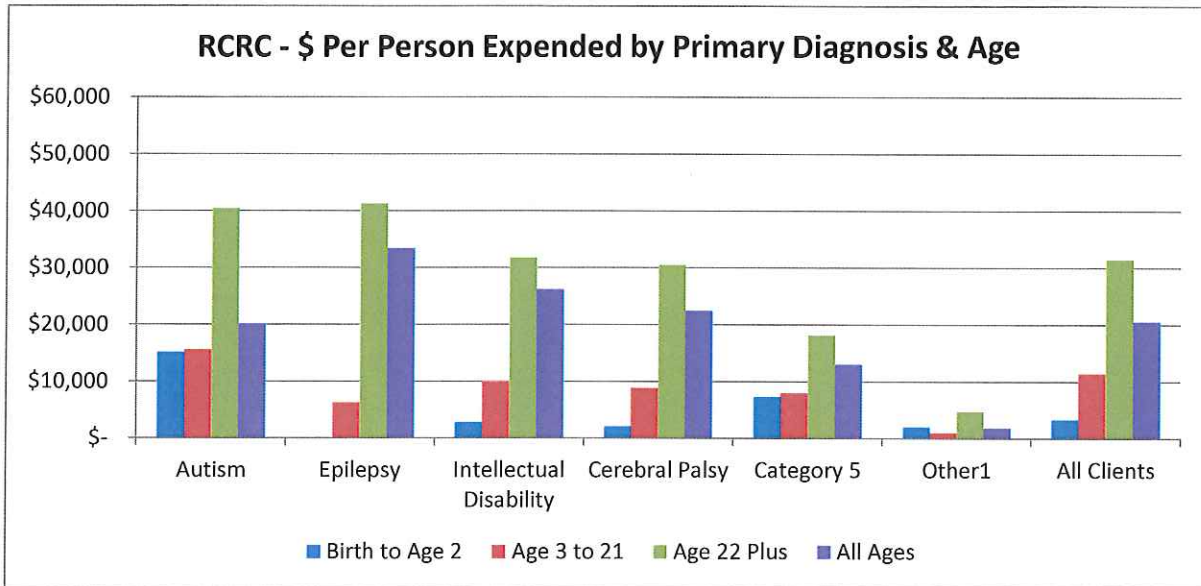
Primary Language ⁵	Birth to Age 2	Age 3 to 21	Age 22 Plus	All Ages
English	369	1,282	1,798	3,449
Spanish	44	122	42	208
Asian	1	10	3	14
Other	-	6	16	22
All Clients	414	1,420	1,859	3,693



RCRC PER PERSON EXPENDITURES & AUTHORIZATIONS BY PRIMARY DIAGNOSIS AND AGE, FISCAL YEAR 2014-15

Number of RCRC Clients By Primary Diagnosis And Age

Primary Diagnosis ⁶	Birth to Age 2	Age 3 to 21	Age 22 Plus	All Ages
Autism	40	636	154	830
Intellectual Disability	9	472	1,427	1,908
Cerebral Palsy	2	45	81	128
Epilepsy	-	15	52	67
Category 5	3	122	124	249
Other (no Dx)	360	130	21	511
All Clients	414	1,420	1,859	3,693

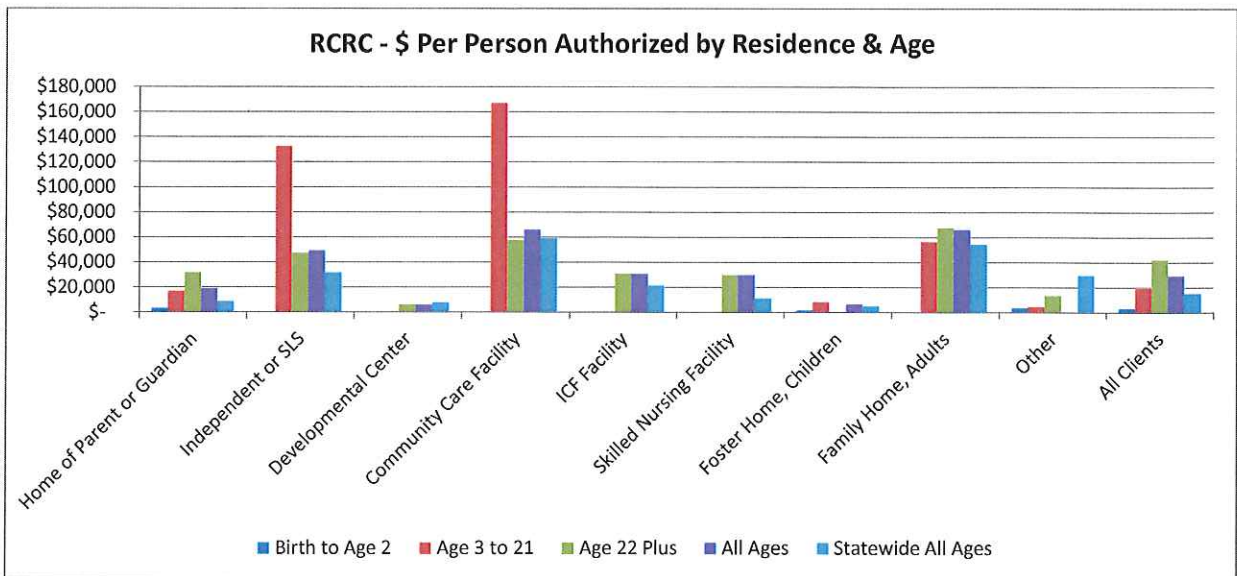
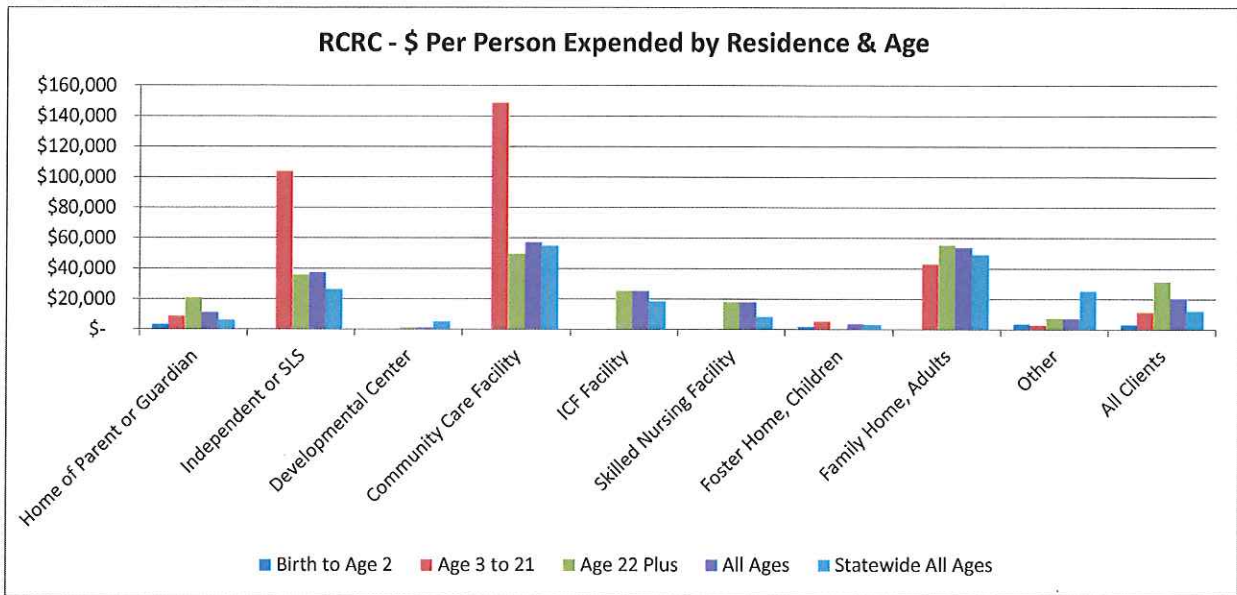


Source: RCRC FY 2014-2015 POS Expenditure Data

RCRC PER PERSON EXPENDITURES AND AUTHORIZATIONS BY RESIDENCE AND AGE, FISCAL YEAR 2014-15

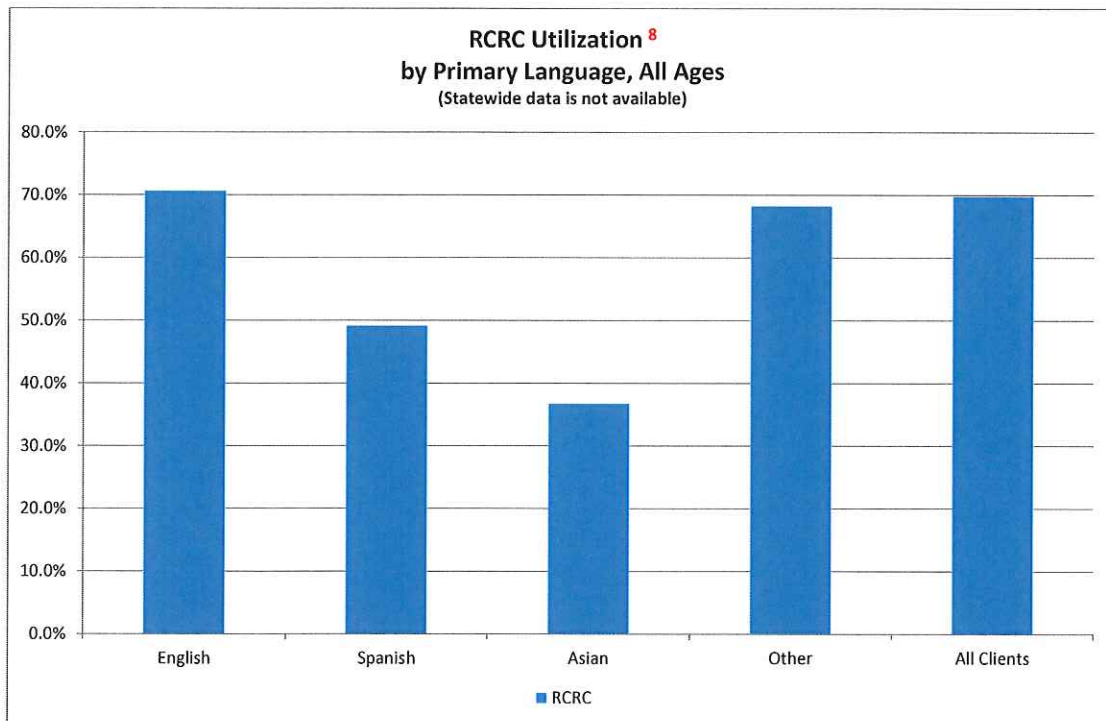
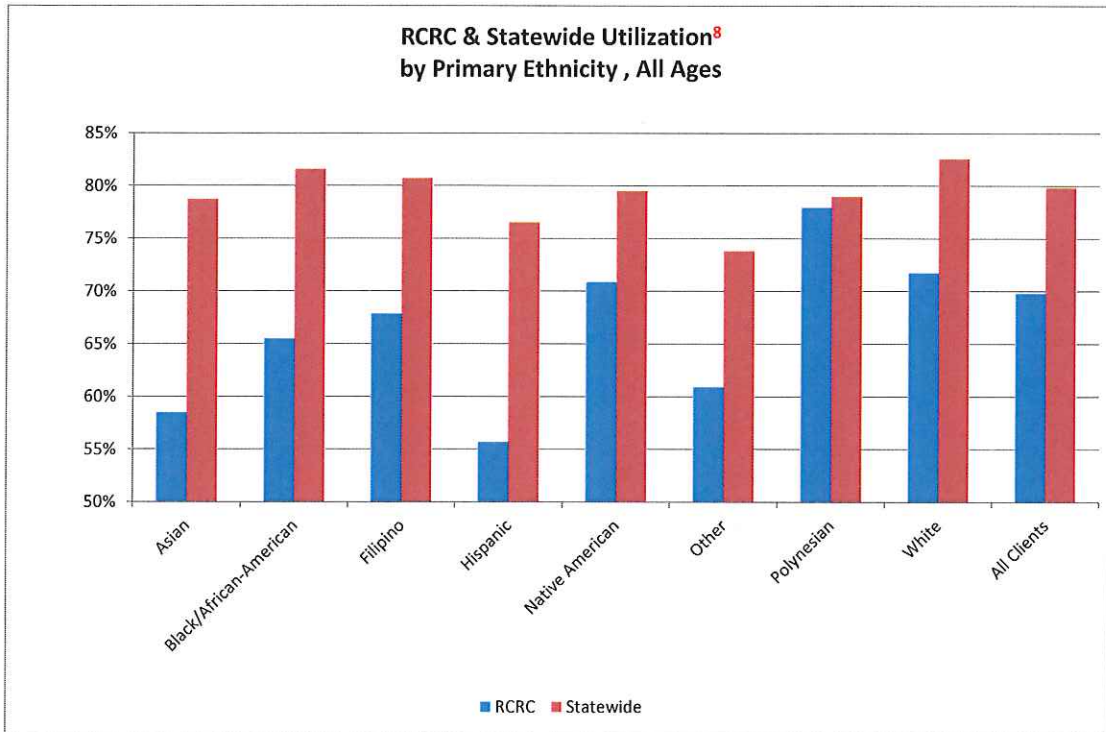
Number of RCRC Clients By Residence And Age

Residence ⁷	Birth to Age 2	Age 3 to 21	Age 22 Plus	All Ages
Home of Parent or Guardian	370	1,318	626	2,314
Independent or Supported Living		23	938	961
Developmental Center		-	5	5
Community Care Facility		10	121	131
ICF Facility		-	23	23
Skilled Nursing Facility		-	23	23
Foster Home, Children	43	53	-	96
Family Home, Adults		11	78	89
Other	1	5	45	51
All Clients	414	1,420	1,859	3,693



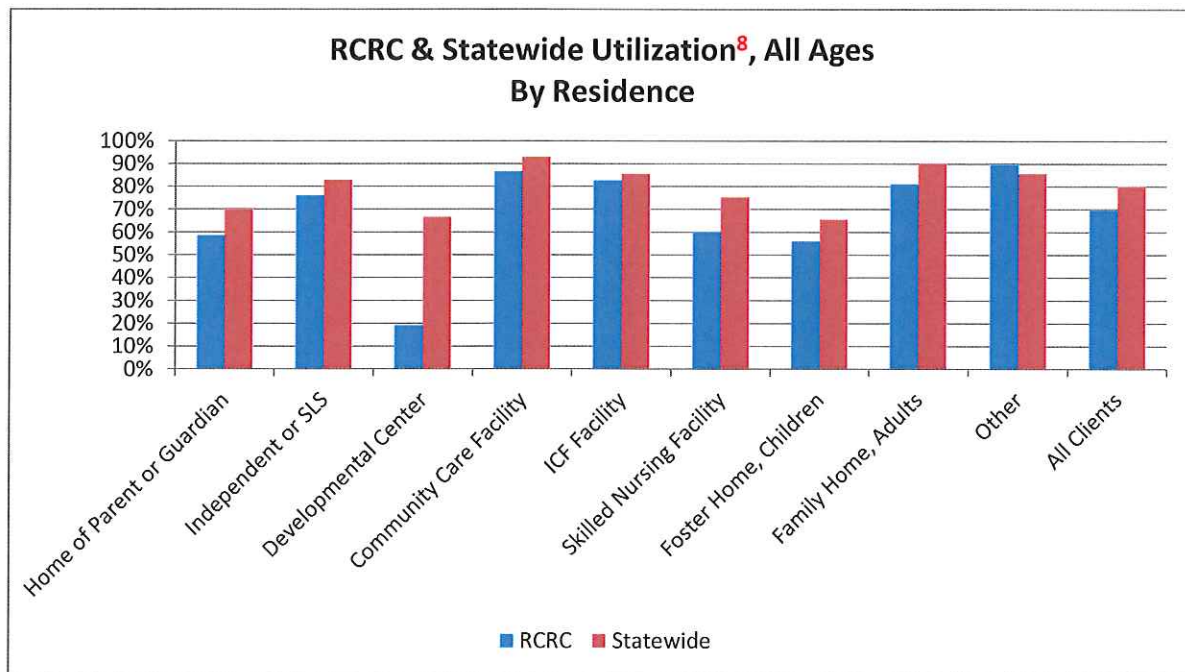
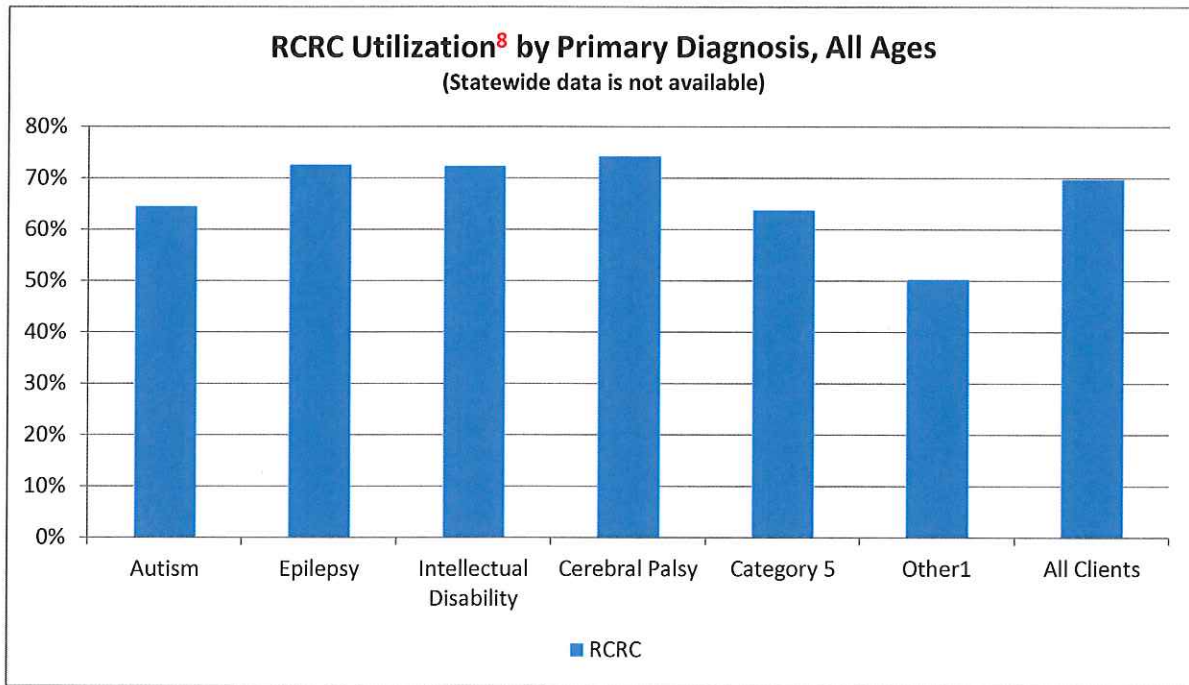
Source: RCRC FY 2014-2015 POS Expenditure Data

RCRC & STATEWIDE UTILIZATION⁸
BY PRIMARY ETHNICITY & LANGUAGE, FISCAL YEAR 2014-15



Source: RCRC FY 2014-2015 POS Expenditure Data

RCRC & STATEWIDE UTILIZATION⁸
BY PRIMARY DIAGNOSIS AND RESIDENCE, FISCAL YEAR 2014-15

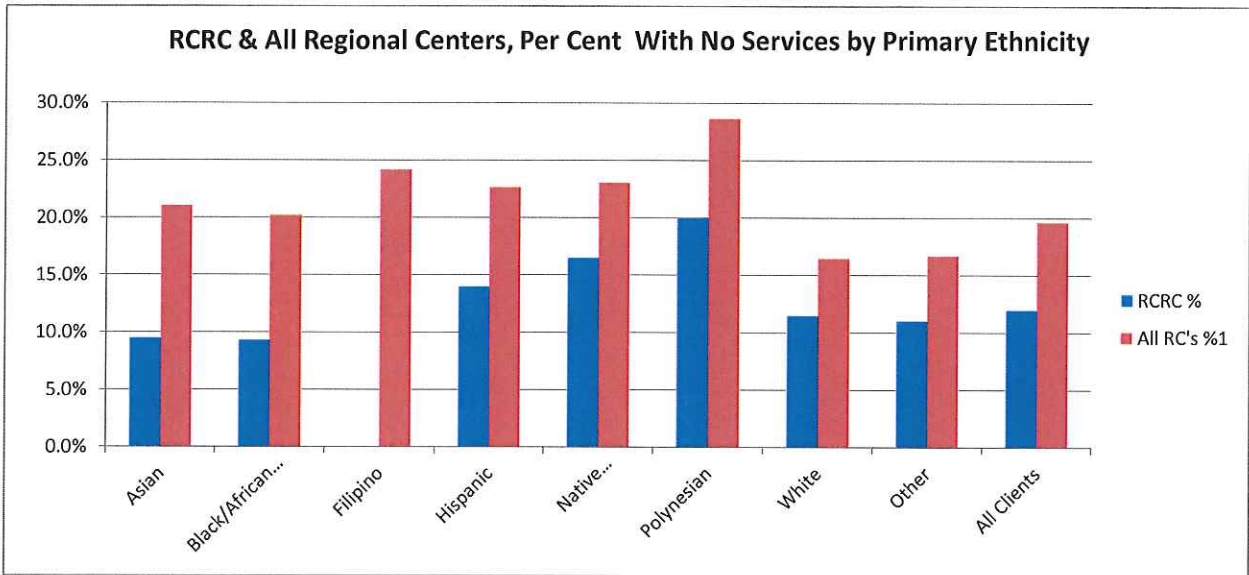


Source: RCRC FY 2014-2015 POS Expenditure Data

**NO SERVICES
BY PRIMARY ETHNICITY OF RCRC & STATEWIDE POPULATIONS, FISCAL YEAR 2014-15**

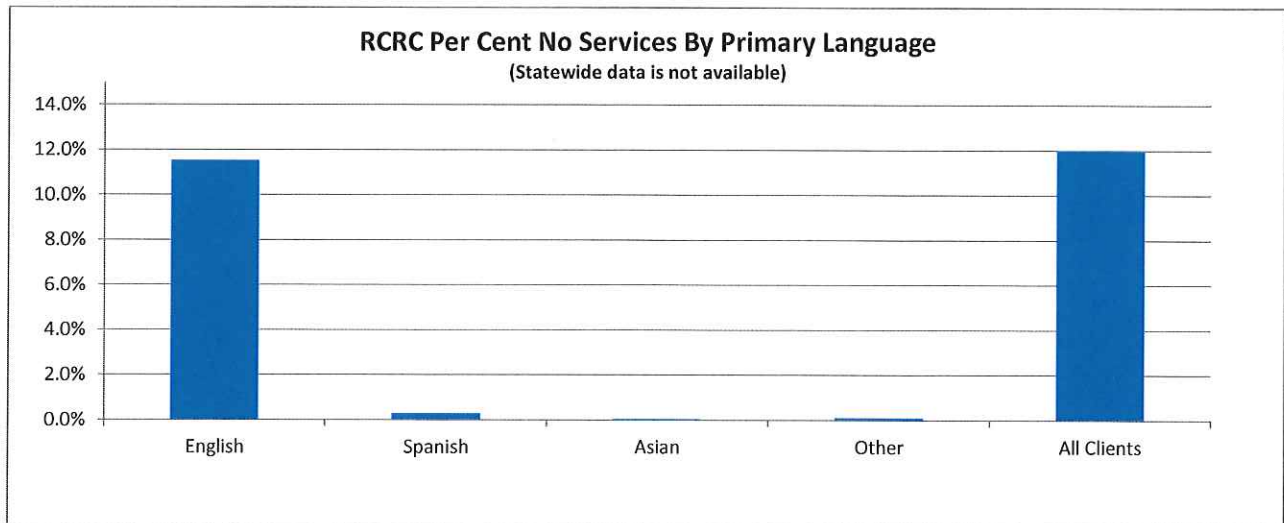
RCRC And Statewide No Services By Primary Ethnicity

Primary Ethnicity ⁴	RCRC	All RC's ¹	RCRC %	All RC's % ¹
Asian	4	4,098	9.5%	21.1%
Black/African American	7	5,676	9.3%	20.2%
Filipino	-	1,588	0.0%	24.2%
Hispanic	60	26,182	14.0%	22.7%
Native American	37	247	16.5%	23.1%
Polynesian	1	196	20.0%	28.7%
White	314	16,765	11.5%	16.5%
Other	20	6,108	11.1%	16.7%
All Clients	443	60,860	12.0%	19.7%



RCRC No Services By Primary Language

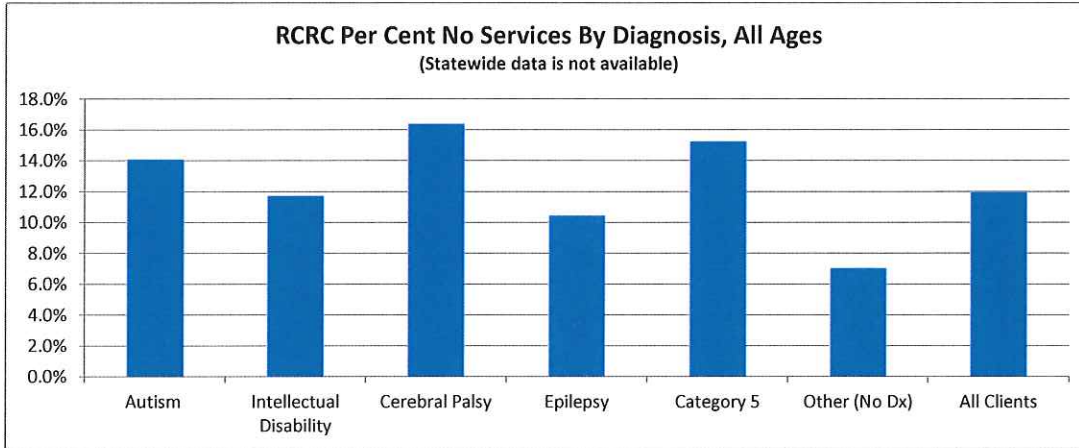
Primary Language ⁵	English	Spanish	Asian	Other	All Clients
Per Cent	11.5%	0.3%	0.1%	0.1%	12.0%



**NO SERVICES
BY PRIMARY DIAGNOSIS & RESIDENCE, FISCAL YEAR 2014-15**

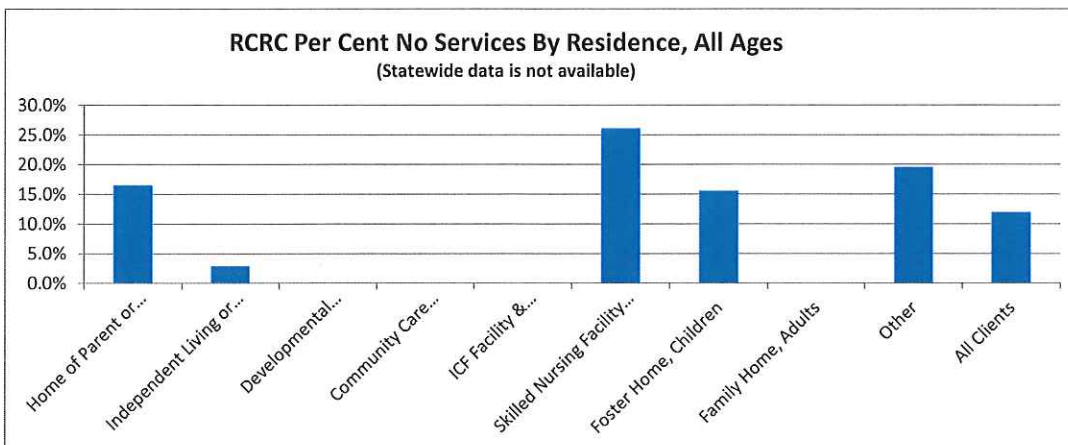
RCRC No Services By Primary Diagnosis

Primary Diagnosis ⁶	Clients	No Services	Per Cent
Autism	830	117	14.1%
Intellectual Disability	1,908	224	11.7%
Cerebral Palsy	128	21	16.4%
Epilepsy	67	7	10.5%
Category 5	249	38	15.3%
Other (No Dx)	511	36	7.1%
All Clients	3,693	443	12.0%



RCRC No Services By Residence

Residence ⁶	Clients	No Services	Per Cent
Home of Parent or Guardian	2,314	384	16.6%
Independent Living or Supported Living	961	28	2.9%
Developmental Center/State Hospital	5	0	0.0%
Community Care Facility (CCF)	131	0	0.0%
ICF Facility & Continuous Nursing	23	0	0.0%
Skilled Nursing Facility (SNF)	23	6	26.1%
Foster Home, Children	96	15	15.6%
Family Home, Adults	89	0	0.0%
Other	51	10	19.6%
All Clients	3,693	443	12.0%



Source: RCRC FY 2014-2015 POS Expenditure Data

ETHNICITY & RESIDENCE WITH EXPENSES, AUTHORIZATIONS, & UTILIZATION, FISCAL YEAR 2014-15

RCRC Clients By Ethnicity & Residence

Ethnicity	Home	ILS-SLS	Institutions	MRP	Residential	Other	Total
White	1,703	822	13	27	143	22	2,730
Hispanic	380	42	1	1	2	2	428
Black/African Am.	57	16	-	-	-	2	75
Native American	161	51	2	2	4	4	224
Asian	45	6	-	-	1	-	52
Pacific Islander	5	-	-	-	-	-	5
Other/ Multi-Cultural	148	24	1	1	4	1	179
All Clients	2,499	961	17	31	154	31	3,693

RCRC Clients By Ethnicity & Residence as a %

White	62.4%	30.1%	0.5%	1.0%	5.2%	0.8%	73.9%
Hispanic	88.8%	9.8%	0.2%	0.2%	0.5%	0.5%	11.6%
Black/African American	76.0%	21.3%	0.0%	0.0%	0.0%	2.7%	2.0%
American Indian or Alaska Native	71.9%	22.8%	0.9%	0.9%	1.8%	1.8%	6.1%
Asian	86.5%	11.5%	0.0%	0.0%	1.9%	0.0%	1.4%
Native Hawaiian or Other Pacific Islander	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%
Other Ethnicity or Race / Multi-Cultural	82.7%	13.4%	0.6%	0.6%	2.2%	0.6%	4.8%
Totals	67.7%	26.0%	0.5%	0.8%	4.2%	0.8%	100.0%

RCRC Clients % Per Capita Expense by Ethnicity & Residence

White	68.0%	185.1%	8.7%	82.6%	261.8%	38.4%	113.0%
Hispanic	38.7%	195.2%	201.3%	131.8%	86.8%	9.4%	54.8%
Black/African American	50.3%	131.2%	0.0%	0.0%	0.0%	2.4%	66.3%
American Indian or Alaska Native	43.7%	155.6%	33.3%	8.1%	159.2%	33.6%	70.6%
Asian	73.8%	299.8%	0.0%	0.0%	97.2%	0.0%	100.3%
Native Hawaiian or Other Pacific Islander	29.0%	0.0%	0.0%	0.0%	0.0%	0.0%	29.0%
Other Ethnicity or Race / Multi-Cultural	49.8%	116.8%	0.7%	87.9%	211.9%	0.0%	62.0%
Totals	60.5%	182.1%	22.4%	79.5%	254.5%	32.4%	100.0%

RCRC Clients % Per Capita Authorizations by Ethnicity & Residence

White	75.0%	168.5%	18.2%	101.4%	209.8%	43.3%	110.0%
Hispanic	55.3%	188.1%	161.8%	128.5%	75.5%	10.2%	68.6%
Black/African American	56.1%	131.0%	0.0%	0.0%	0.0%	1.7%	70.6%
American Indian or Alaska Native	47.2%	137.6%	31.8%	58.6%	161.9%	36.2%	69.6%
Asian	93.0%	295.9%	0.0%	0.0%	73.1%	0.0%	116.0%
Native Hawaiian or Other Pacific Islander	26.0%	0.0%	0.0%	0.0%	0.0%	0.0%	26.0%
Other Ethnicity or Race / Multi-Cultural	59.8%	124.9%	1.7%	102.4%	194.0%	0.0%	71.1%
Totals	69.1%	166.8%	27.3%	99.6%	205.5%	36.1%	100.0%

RCRC Clients Utilization By Ethnicity & Residence

White	63.3%	76.7%	33.2%	56.8%	87.1%	62.0%	75.4%
Hispanic	48.9%	72.5%	86.9%	71.6%	80.2%	64.2%	68.0%
Black/African American	62.6%	69.9%	0.0%	0.0%	0.0%	100.0%	73.6%
American Indian or Alaska Native	64.6%	79.0%	73.1%	9.6%	68.7%	64.8%	77.4%
Asian	55.4%	70.7%	0.0%	0.0%	92.8%	0.0%	63.8%
Native Hawaiian or Other Pacific Islander	78.0%	0.0%	0.0%	0.0%	0.0%	0.0%	79.5%
Other Ethnicity or Race / Multi-Cultural	58.1%	65.3%	29.9%	59.9%	76.3%	0.0%	65.0%
Totals	61.2%	76.2%	57.4%	55.8%	86.4%	62.5%	74.6%

Notes

All figures are from the RCRC 2014-2015 Fiscal Year POS Expenditure Data unless otherwise noted.

Note	Comments			
1	"All RC" data is from 2013-14 Association of Regional Center Agencies (ARCA) data.			
2	"Statewide" data is from the 2010 Census.			
3	"Filipino or Polynesian" data is not available per County, but is broken out elsewhere in this report.			
4	<u>Primary Race/Ethnicity</u> Defintions:			
	Asian includes:			
	Asian Indian	Hmong	Laotian	Vietnamese
	Cambodian	Japanese	Thai	Other Asian
	Chinese	Korean		
	Black/African American			
	Filipino			
	Spanish/Latin includes:			
	Hispanic	Other Latin languages		
	Native American			
	Polynesian includes:			
	Guamanian	Native Hawaiian	Samoan	Other Pacific Islander
	White			
	Other includes:			
	Russian	Multicultural	Unknown	
5	<u>Primary Language</u> Definitions			
	Asian			
	Cantonese Chinese	Japanese	Mandarin Chinese	Vietnamese
	Cambodian	Korean	Thai	Other Asian
	Hmong	Loation		
	English			
	Spanish			
	Spanish	Other Latin languages		
	Other			
	All sign languages	French	Mein	Swahili
	Amharic	Germanic languages	Nigerian	Swedish
	Arabic	Guamanian	Portuguese	Tagalog
	Aramaic	Hebrew	Russian	Uralic-Slavic languages
	Armenian	Hindi	Samoan	Urdu
	Dutch	Hungarian	Scandinavian language All Other	
	Farsi	Italian	Somali	

6 Diagnosis Definitions:

Autism - Any client with a diagnosis of Autism, regardless of any other diagnosis that the client may also have.

Intellectual Disability - Any client with a diagnosis of Intellectual Disability who **does not** also have a diagnosis of Autism, but may also have a diagnosis of Cerebral Palsy, Epilepsy, Category 5, and/or Other.

Cerebral Palsy - Any client with a diagnosis of Cerebral Palsy who **does not** also have a diagnosis of Autism and/or Intellectual Disability but may also have a diagnosis of Epilepsy, Category 5, and/or Other.

Epilepsy - Any client with a diagnosis of Epilepsy who **does not** also have a diagnosis of Autism, Intellectual Disability, and/or Cerebral Palsy but may also have Category 5, and/or Other.

Category 5 - Any client with a diagnosis of Category 5 who **does not** also have a diagnosis of Autism, Intellectual Disability, Cerebral Palsy, and/or Epilepsy but may also have a diagnosis of Other. Note: Clients listed in Category 5, according to WIC Section 4512, are individuals who have "disabling conditions found to be closely related to Intellectual Disability or to require treatment similar to that required for individuals with Intellectual Disability, but shall not include other handicapping conditions that are solely physical in nature."

Other - Any client with a diagnosis of Other who **did not** also have a diagnosis of Autism, Intellectual Disability, Cerebral Palsy, Epilepsy, and/or Category 5. This includes clients that have been determined to have "no diagnosis" or are still in the diagnostic process.

7 "Other" Residence types include the following:

Correctional Institution	Transient/Homeless
Psychiatric Treatment Facility	Out-of-State
Community Treatment Facility	All other not noted
Rehabilitation Center	

8 "Utilization" is the \$ value of what was actually expended divided by the \$ value of what was authorized.